

## IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

**ART UNIT:** 

2161

**EXAMINER:** 

APPLICANT:

Haughan, et al.

SERIAL NO.:

09/825,415

FILED:

4/3/2001

CONFRM. NO.: 2046

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FOR: SYSTEM AND METHOD FOR

FACILITATING TRUSTED TRANSACTIONS

**BETWEEN BUSINESSES** 

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

DATE OF DEPOSIT: December 9, 2003

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

DEC 1 8 2003

**Technology Center 2100** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

$\boxtimes$	37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application,	OI
before a first of	fice action on the merits, whichever occurs last;	

37 C.F.R. § 1.97 (c), after a first office action on the	merits, but before a Final Office
Action or a Notice of Allowance, whichever occurs first, and is accom	npanied by either 1) a statement in
accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in§1.17(p);	or

		37	C.F.R.	§ 1	.97	(d),	after	a	Final	Office	Actio	n or	Notice	of	Allov	vance,	whiche	ever
occurs f	first, t	but or	or be	fore	pay	men	t of t	he	issue	fee, an	d is a	ccon	npanied	by	both	1) a s	tatemen	t in
accordar	nce w	ith 37	C.F.R	. § 1	.97(	e), a	nd 2)	the	e fee s	et forth	in§1.	17(p)	). <sup>,</sup>					

Supplemental Information Disclosure Statement

Application No. 09/825,415

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While no representation is made that any of these references may be "prior art" within the

meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is

disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office

records has been conducted or that no better art exists, the undersigned attorney of record believes that

the references listed, together with any other references which may have been previously submitted or

listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently

aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly

withheld.

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In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant

portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a

translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is

enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this

communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 9th day of December, 2003.

Respectfully submitted,

Steve M. Perry

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TO THE PARTY DESCRIPTION	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. T9849	SERIAL NO 09/825,415
	ST OF PRIOR ART CITED BY APPLICANT	APPLICANT	Haughan, et al.
		FILING DATE 04/03/2001	GROUP 2161

## **U.S. PATENT DOCMENTS** FILING DATE **EXAMINER DOCUMENT** CLASS | SUBCLASS INITIALS **NUMBER** DATE NAME RECEIVED DEC 1 8 2003 Technology Center 2100 FOREIGN PATENT DOCUMENTS **EXAMINER DOCUMENT TRANSLATION** CLASS | SUBCLASS INITIALS NUMBER DATE **COUNTRY** YES NO WO 01/59731 08/16/2001 **A1** WO 01/84443 11/08/2001 **A1** OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.